DNYANSAGAR ARTS AND COMMERCE COLLEGE, BALEWADI, PUNE - 45

Subject: Financial Services (subject code 605, Sem-VI, 2013 pattern) CLASS: T.Y.BBA

QUESTION BANK

UNIT- 1 - INDIAN FINANCIAL SERVICES AN OVERVIEW

Q1. Write the answer of the following questions (10 marks each)

- 1. What is financial system? Give brief overview of the Indian financial system.
- 2. What are the financial intermediaries? Discuss their role in the financial system.
- 3. Explain the financial institutions in the Indian financial system
- 4. Define financial system. Explain the structure of the financial system.
- 5. Explain- Primary market
- 6. EXPLAIN- Secondary market.
- 7. State the concept of Protection of Investors Security and Exchange Board of India.
- 8. State the characteristics of Financial services.
- 9. Discuss Non banking financial companies.
- 10. What is Debenture Trustee? Stat its role and responsibilities.

Q.2. Write short notes. (5 Marks each)

- 1. Structure of financial system.
- 2. Financial markets
- 3. Indian financial system since 1991
- 4. Financial intermediaries.
- 5. Depository participants
- 6. Portfolio Managers
- 7. Functions and Responsibilities of Registrars to an Issue and share Transfer Agent.
- 8. Mutual fund
- 9. Underwriters and its types.
- 10. Merchant bank.

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UNIT- 2- INTRODUCTION OF FINANCIAL MARKETS.

Q 1. Answers the following questions. (10 marks each)

- 1. What is a money market? Discuss its nature and role in the Indian context.
- 2. Discuss the features and functions of a money market.
- 3. Explain the structure and composition of the Indian money market.
- 4. Discuss the advantages and drawbacks of Indian money market.
- 5. What is Security and Exchange Board of India.?
- 6. Explain objectives and Functions of SEBI.
- 7. What is OTC? State ita features and Objectives.
- 8. Discuss the meaning and features of Primary and secondary market.
- 9. What is derivative security? State its types.
- 10. What are options? Explain- the different types of options.
- 11. Discuss the steps involved in the floatation of new issues in the market.

Q 2. Write short notes. (8 marks each)

- 1. Call and Notice money market
- 2. Commercial papers
- 3. Money market mutual fund.
- 4. Bombay stock exchange
- 5. Treasury bills
- 6. Certificate of Deposits
- 7. Inter corporate deposits.
- 8. Difference between Money Market and Capital Markets.
- 9. Difference between OTCEI and Stock exchange.
- 10. Recent Reforms in Indian Money Market.
- 11. Components of Money Market

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UNIT - 3 - FINANCIAL SERVICES IN INDIA.

Q 1. Write the answers of the following questions. (10 marks each)

- 1. What are financial Services? Explain the various current trends in financial services in India.
- 2. What are the major advantages of investment through mutual fund?
- 3. Define the different types of schemes floated by mutual funds explain them briefly?
- 4. What do you mean by Credit rating? Analyse the important features of credit rating business in India.
- 5. What is the concept and Mechanism of factoring?
- 6. What are the resource and Non resource factoring.?
- 7. Explain the origin, meaning and characteristics of factoring.
- 8. Explain the types and advantages of factoring.
- 9. Distinguish between factoring and forfeiting and explain their respective scope in India.
- 10. Explain the term credit rating. What are its advantage?
- 11. What is the concept of venture capital.
- 12. Explain Cost Components of a Forfeiting Agreements.

Q.2. Write short notes. (5 marks each)

- 1. Activities of financial Services.
- 2. Current trends in financial Services
- 3. Mutual Fund
- 4. Advantages & Disadvantages of Mutual Fund
- **5.** Role & Responsibilities of Asset Management Company.
- 6. Factoring Service
- 7. Disadvantages of Factoring
- **8.** Forfeiting Benefits & its Examples
- 9. Importance & Procedure of Credit rating



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- 10. Concept of Venture capital
- **11.** Procedure of Venture Capital.
- 12. Different stages of Financing



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UNIT - IV - BANKING & INSURANCE SECTOR IN INDIAN

Q 1. Write the answers of the following questions. (10 marks each)

- 1. Explain the structure of the Banking system prevalent in India
- 2. Give a brief outline of the structure of the Insurance sector in India
- 3. Discuss the progress made in the Insurance sector in the last two decades.
- 4. State the major functions of RBI.
- 5. Explain the history of IRDAI.
- 6. What is Insurance Regulatory & development Authority?
- 7. Explain the features & Power of IRDA.
- 8. Discuss the role of RBI & regulatory authorities.
- 9. State the objectives of Life Insurance Corporation of India.

Q. 2. Write short notes . (5 Marks Each)

- 1. Development Banks
- 2. Insurance Sector
- 3. Commercial Banks
- 4. Foreign Banks
- 5. Regional Banks
- 6. Cooperative Banks
- 7. Objectives Of RBI
- 8. Duties of IRDA
- 9. Functions of RBI

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UNIT- V – RECENT TRENDS IN ACCOUNTING & FINANCE

Q 1. Write the answers of the following questions. (10 Marks each)

- 1. Explain the concept of Zero Base Budgeting.
- 2. State the merits and demerits of Zero base Budgeting.
- 3. What is Inflation Accounting? State its Characteristics
- 4. Explain the Advantages and disadvantages of Inflation Accounting.
- 5. Explain the methods of Accounting of Inflation.
- 6. What is Activity Based Costing? Explain the process of activity based costing.
- 7. Explain the various benefits of Activity Based Costing.
- 8. What are mergers? State the various types of mergers.
- 9. What are acquisition? State the meaning of Takeover.
- 10.Explain the importance of Merger & Acquisition.
- 11. What is Human Resource Accounting? State its Objectives

Q 2. Write Short notes. (5 Marks each)

- 1. Inflation Accounting
- 2. Drawbacks of Zero Base Budgeting
- 3. Working of Decision Packages
- 4. Formulation & Development of Decision Package.
- 5. Zero Base budgeting.
- 6. Current Purchasing Power Method.
- 7. Current Cost Accounting Method.
- 8. Human Resource Accounting.
- 9. Objectives of HR accounting.
- 10.Part of the Balance-Sheet.